# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, business partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, business partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

**Members:** A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**Questions?** 

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

#### **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

#### STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Name ( <i>Print last, first, middle initial</i> ) Franz J. Mueter						
Name of Council or Council Committee NPFMC SSC						
Type of Appointment (list Nominee or Member) Member						
Type of Filing: ■ Annual □ Supplemental □ Nominee						

#### Instructions

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, business partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- 6. Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

#### Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

#### **Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

#### **Part 1: Personal Financial Interest**

#### **Section 1.1: Assets and Ownership**

Do you	-	stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in
•		ring activities in any fishery under the jurisdiction of the Council concerned?
•	No	
0	Yes	
	Check a	ll that apply:
		Harvesting
		Processing
		Marketing Provides equipment essential to any of the listed activities
		Provides other services essential to any of the listed activities
		Trovides other services essential to any of the listed activities
share	holdings	describe each applicable interest, as indicated above. Please include the percentage ownership or sor any other monetary or in-kind enumeration of the company or business. Please include usiness name, date the interest began, and fishery or fisheries of interest.
Do you in any o	-	2 stock, equity or ownership interest (whole or partial ownership) in any fishing vessel engaged owing activities in any fishery under the jurisdiction of the Council concerned?
	Yes	
	ll that ap	plv:
	-	Harvesting
		Processing
		Marketing
		Provides equipment essential to any of the listed activities
		Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.

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Do you have any <b>stock, equity or ownership interest</b> (whole or partial ownership) in any <b>other entity</b> engaged in any of	
the following activities in any fishery under the jurisdiction of the Council concerned?  • No	
O Yes	
Check all that apply:	
☐ Harvesting	
☐ Processing	
☐ Marketing	
Provides equipment essential to any of the listed activities	
Provides other services essential to any of the listed activities	
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.	
Question 1.1.4  Do you have any stock, equity or ownership interest (whole or partial) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?    No	
O Yes	
Check all that apply:   Consulting	
□ Lobbying □ Legal	
☐ Advocacy ☐ Representational Services	
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.	
Question 1.1.5	
Do you have any stock, equity or ownership interest in any entity that either owns (wholly or partially) or is owned by	,
(wholly or partially) another entity that is engaged in or that provides any of the following activities or services for a	
fishery under the jurisdiction of the Council concerned?	
● No	
O Yes	
Check all that apply:  Consulting Harvesting Legal Processing	
<ul> <li>□ Representational Services</li> <li>□ Lobbying</li> <li>□ Provides equipment essential to any of the listed activities</li> </ul>	
□ Advocacy □ Provides other services essential to any of the listed activities	

share holdings	describe each applicable interest, as indicated above. Please include the percentage ownership or any other monetary or in-kind enumeration of the entity. Please include company or business interest began, and fishery or fisheries of interest.
Section 1.2: F	Employment
under the jurisdic No	loyment with any company or business engaged in any of the following activities in any fishery tion of the Council concerned?
	Provides equipment essential to any of the listed activities  Processing Provides other services essential to any of the listed activities  Marketing
	describe each applicable interest, as indicated above. Please include beginning date of company or business name, and fishery or fisheries of interest.
	2 loyment with a <b>fishing vessel</b> engaged in any of the following activities in any fishery under the council concerned?
	Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
	describe each applicable interest, as indicated above. Please include beginning date t, vessel name, gear type, and fishery or fisheries of interest.

jurisdict	have e ion of	2.3 employment with <b>any other entity</b> engaged in any of the following activities in any fishery under the the Council concerned?
•	No	
0	Yes	
	_	k all that apply:
	_	☐ Harvesting
		<ul><li>□ Processing</li><li>□ Marketing</li></ul>
		☐ Provides equipment essential to any of the listed activities
	_	☐ Provides other services essential to any of the listed activities
1		and describe each applicable interest, as indicated above. Please include beginning date nent, entity name, and fishery or fisheries of interest.
Questi	on 1.	2.4
-		employment with any company, business, or other entity that provides any of the following services for any
-		the jurisdiction of the Council concerned?
_	No	
	Yes	
Check a	_	I.I. Conculting
	_	☐ Lobbying ☐ Consulting ☐ Advocacy ☐ Legal
		Representational Services
		and describe each applicable interest, as indicated above. Please include beginning date nent, entity name, and fishery or fisheries of interest.
Questi	on 1	25
•		employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially)
		y that is engaged in or that provides any of the following activities or services for any fishery under the
		the Council concerned?
•	No	
0	Yes	
		k all that apply:
	_	☐ Consulting ☐ Harvesting ☐ Lobbying
	[	☐ Processing ☐ Advocacy
	[	□ Representational Services □ Marketing
		☐ Provides equipment essential to any of the listed activities ☐ Provides other services essential to any of the listed activities

	-	oplicable interest, as indicated above. Please include beginning date nd fishery or fisheries of interest.
other entities the of the Council co O No O Yes Check o	ployment with <b>an</b> at are engaged in	association or organization whose members include companies, vessels, or any of the following activities or services for any fishery under the jurisdiction  Consulting Legal Representational Services
	Provides equipm	nent essential to any of the listed activities ervices essential to any of the listed activities
Section 1.3:	Other Service	with an association or organization
ressels, or other he jurisdiction of No	as an officer, dire	ctor, or trustee of any organization or association whose members include companies engaged in or provide any of the following activities or services for any fishery under cerned?
		Consulting Legal Representational Services  The sential to any of the listed activities ervices essential to any of the listed activities
Please list and	describe each ap	plicable interest, as indicated above. Please include beginning date of service,

### Part 2: Financial Interest of Spouses, Business Partners, and Minor Children

Ture 211 manetar meet est of spouses, Business Furthers, and Finner email
If you have no spouse, business partner, or minor children, check the box below and proceed to Part 3.  □ I have no spouse, business partner, or minor children
Section 2.1: Assets and Ownership
Question 2.1.1  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 2.1.2  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type, and fishery or fisheries of interest.

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Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any other entity engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.4  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Consulting  Legal  Representational Services  Lobbying  Advocacy
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.5  Does your spouse, business partner, or minor child have any stock, equity or ownership interest in any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity that is engaged in or that provides any of the following activities or services for any fishery under the jurisdiction of the Council concerned?  No
Yes  Check all that apply:  Consulting  Legal  Representational Services  Marketing  Lobbying  Advocacy  Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities
share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.

Section 2.2 Employment of Spouse, Business Partner, or Minor Child
Question 2.2.1  Does your spouse, business partner, or minor child have <b>employment</b> with any <b>company or business</b> engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No
Yes Check all that apply:
<ul><li>☐ Harvesting</li><li>☐ Processing</li><li>☐ Marketing</li></ul>
<ul> <li>□ Provides equipment essential to any of the listed activities</li> <li>□ Provides other services essential to any of the listed activities</li> </ul>
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name, and fishery or fisheries of interest.
Question 2.2.2  Does your spouse, business partner, or minor child have <b>employment</b> with a <b>fishing vessel</b> engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?
<ul> <li>✓ Yes</li> <li>Check all that apply:</li> <li>□ Harvesting</li> <li>□ Processing</li> <li>□ Marketing</li> </ul>
<ul> <li>Provides equipment essential to any of the listed activities</li> <li>Provides other services essential to any of the listed activities</li> </ul>
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type, and fishery or fisheries of interest.
Question 2.2.3  Does your spouse, business partner, or minor child have employment with any other entity engaged in any of the
following activities for any fishery under the jurisdiction of the Council concerned?  No
O Yes
Check all that apply:
☐ Harvesting ☐ Processing  Marketing
Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.

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	for child have employment with <b>any company, business, or other entity</b> that my fishery under the jurisdiction of the Council concerned?
O No	
O Yes	
Check all that apply: 🔲 Consult	ing
☐ Lobbying ☐ Legal	
☐ Advocacy ☐ Represe	entational Services
Please list person and relationship and de beginning date of employment, entity na	escribe each applicable interest, as indicated above. Please include me, and fishery or fisheries of interest.
Question 2.2.5	
	or shild have compleyment with any outily that sither owns (whally or
	or child have employment with <b>any entity that either owns (wholly or y) another entity</b> engaged in or that provides any of the following activities tion of the Council concerned?
, , ,	☐ Harvesting
<ul><li>No</li></ul>	□ Processing
O Yes	☐ Lobbying
Check all that apply:	□ Advocacy
☐ Consulting	☐ Marketing
<ul><li>Legal</li><li>Representational Services</li></ul>	Provides equipment essential to any of the listed activities
Representational Services	☐ Provides other services essential to any of the listed activities
0 1 006	
Question 2.2.6	
	or child have employment with <b>an association or organization</b> whose her entities engaged in any of the following activities in any fishery under the
members include companies, vessels, or oth jurisdiction of the Council concerned?	
members include companies, vessels, or other	
members include companies, vessels, or oth jurisdiction of the Council concerned?	
members include companies, vessels, or oth jurisdiction of the Council concerned?  No	
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult	ner entities engaged in any of the following activities in any fishery under the
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult Processing Legal	ner entities engaged in any of the following activities in any fishery under the
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult Processing Legal Lobbying Represe	ner entities engaged in any of the following activities in any fishery under the
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult Processing Legal Lobbying Represe	ner entities engaged in any of the following activities in any fishery under the
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult Processing Legal Lobbying Represe Advocacy Marketing	ner entities engaged in any of the following activities in any fishery under the ing
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult Processing Legal Lobbying Represe Advocacy Marketing Provides equipment esser	ner entities engaged in any of the following activities in any fishery under the
members include companies, vessels, or oth jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Consult Processing Legal Lobbying Represe Advocacy Marketing Provides equipment esser Provides other services es	ing entational Services

## <u>Section 2.3: Service with an association or organization by Spouse, Business Partner, or Minor Child</u>

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association whos	•	companies, vessels, or other entities engaged in any of the following activities in any objective concerned?
<ul><li>● No</li><li>○ Yes</li></ul>	,	
	Lobbying Advocacy Marketing Provides equipment	☐ Consulting ☐ Legal ☐ Representational Services  t essential to any of the listed activities ices essential to any of the listed activities
beginning dat	e of service, entity n	and describe each applicable interest, as indicated above. Please include ame, and fishery or fisheries of interest.  uested of Other Persons
in the event a	ny of the require not known to ye	ed information, including holdings placed in trust or percentage ou but is known to another person, you should request that other tion on your behalf and should report such request in the space
and describe ed	ach applicable intere	equested information for Part 3. When available, have that person please list est. Please include the percentage ownership or share holdings or any other Please include entity name, date the interest began, and fisheries of interest.

### **Signature**

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature\_ H

Digitally signed by Franz J Mueter
DN: C=US, OU=CFOS, O=UAF, CN=
Franz J Mueter, E=fmueter@alaska.edu
Reason: 1 am the author of this document
Location:
Date: 2025.01.03 12:32:41-09'00'
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ate (mm/dd/yyyy) 01/03/2025